

How to navigate this tool?

This tool is divided into two sections:

* **Section A:** presents the screening questionnaire, which guides users through the identification of the J-REDD+ programs’ safeguards elements, including the identification of any gaps.
* **Section B**: presents a ‘J-REDD Safeguards Screening Report’ template, that is to be completed based on the results from Section ‘A’, and will be used to inform the scope of the qualitative review.

Section A - Questionnaire

To complete this questionnaire users should consider the scope of each column as follows:

1. Questions: presents guiding questions for the users, which aim to understand the scope of development of these elements, without going into any qualitative aspects.
2. Scoring: the following scoring guide should be applied by users:
   1. **Yes:** The J-REDD+ program addresses the criteria.
   2. **Partially:** The J-REDD+ program addresses the criteria.
   3. **No**: The J-REDD+ program does not address the criteria.
3. Findings: an opportunity for users to offer information and/or clarifications in relation to their scoring.
4. Means of verification: users should identify any documentation used to support their scoring, including hyperlinks with additional information.

Screening Questionnaire

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| --- | --- | --- | --- |
| **The Safeguard Information System (SIS)\***  \**Equivalent systems for sub-national jurisdictions, which are required to have a sub-national ‘reporting system’ in place that allows them to report to both national government and to domestic stakeholders on how the UNFCCC safeguards are being addressed and respected at sub-national level.* | | | |
| **Criteria** | **Scoring**  **(Yes/No/Partially)** | **Comments** | **Means of verification** |
| 1. Does the SIS (or equivalent) have an institutional arrangement[[1]](#footnote-1) in place for providing information on how the seven UNFCCC REDD+ safeguards are being addressed and respected? |  |  |  |
| 1. Does the SIS (or equivalent) have a web-based platform (website/webpage) to share/disseminate information that is accessible? |  |  |  |
| 1. Does the SIS (or equivalent) have indicators to be able to report on how the seven UNFCCC REDD+ safeguards are being addressed and respected? |  |  |  |
| 1. Does the SIS (or equivalent) have a design document/framework, which sets out the key design elements [i.e. functions and institutional arrangements of the SIS]? |  |  |  |
| **The J-REDD+ Program’s governance arrangements that guarantee the application of the UNFCCC REDD+ safeguards** | | | |
| Criteria | Scoring  (Yes/No/Partially) | Comments | Means of verification |
| 1. Has the J-REDD+ program adopted a description/interpretation/clarification of the UNFCCC REDD+ safeguards?[[2]](#footnote-2) |  |  |  |
| 1. Does the J-REDD+ program articulate ‘how’ the UNFCCC REDD+ safeguards are to be applied in the context of the implementation of the REDD+ activities? [i.e. is there an identification and description of relevant legislation, policies, procedures, plans, etc.][[3]](#footnote-3) |  |  |  |
| 1. Does the J-REDD+ program articulate ‘who’ will be responsible for the application of the UNFCCC REDD+ safeguards in the context of the implementation of the REDD+ activities? [i.e. description of the institutions and stakeholders responsible] |  |  |  |
| 1. Has the J-REDD+ program undergone a strategic environmental and social assessment (SESA) and adopted specific environmental and social management framework (ESMF) in response? |  |  |  |
| **The Revenue Distribution Plan or System** | | | |
| Criteria | Scoring  (Yes/No/Partially) | Comments | Means of verification |
| 1. Does the J-REDD+ program have an incentive or revenue distribution plan/system applicable to the scope of the J-REDD+ relevant actions? [[4]](#footnote-4) |  |  |  |
| 1. Does the J-REDD+ program articulate ‘how’ the incentive or revenue distribution would apply to the J-REDD+ program’s relevant activities? [i.e. the process for distribution of the benefits - including how funds will flow from the institution receiving the ERPA Payments to the final Beneficiaries.] |  |  |  |
| 1. Does the J-REDD+ program articulate who will be responsible for the incentive or revenue distribution in the context of the implementation of the relevant REDD+ activities? [i.e. institutions or institutional arrangements in place] |  |  |  |
| 1. Does the J-REDD+ program articulate who the beneficiaries of the J-REDD+ programs’ activities are? |  |  |  |
| 1. Does the J-REDD+ program articulate the form of incentives/benefits that are provided through the distribution plan/system? |  |  |  |
| **The Grievance Redress Mechanism(s) (GRMs)** | | | |
| Criteria | Scoring  (Yes/No/Partially) | Comments | Means of verification |
| 1. Has the J-REDD+ program identified GRMs applicable to the scope of the J-REDD+ program?[[5]](#footnote-5) |  |  |  |
| 1. Does the J-REDD+ program articulate ‘who’ will be responsible for the GRMs? |  |  |  |
| 1. Do the GRMs specify ‘how’ grievances will be resolved? [i.e. have a clear procedure, including timeframes] |  |  |  |
| 1. Do the GRMs have a registry of grievances? |  |  |  |
| **The J-REDD+ program’s participatory approaches** | | | |
| Criteria | Scoring  (Yes/No/Partially) | Comments | Means of verification |
| 1. Does the J-REDD+ program have a participatory/consultative process or procedure in place? [such as a stakeholder engagement plan that determines which, how and when stakeholders will be involved in the implementation and monitoring of the J-REDD+ program] |  |  |  |
| 1. Does the J-REDD+ program have a multi-stakeholder platform or equivalent in place, which is used to promote the participation and inclusion of stakeholders in the context of its decision-making, implementation and monitoring?[[6]](#footnote-6) |  |  |  |
| **The Summary of Information (SOI)** | | | |
| Criteria | Scoring  (Yes/No/Partially) | Comments | Means of verification |
| 1. Has a SOI been submitted at the to the UNFCCC? |  |  |  |
| 1. Has a SOI been submitted in correlation to the period for which RBP are being claimed for? |  |  |  |

Section B: J-REDD safeguards screening report template

As previously noted, the objective of the screening is to primarily **identify** if the J-REDD+ program has the minimum elements in place and identify any gaps. Accordingly, this template report aims to:

* Determine which safeguard elements will be subject to qualitative review, which will depend on their level of development.
* Identify if any safeguard elements are missing, which will be covered directly in the J-REDD+ roadmaps (Tool 8).

To complete this template report, users (with the support of a selected expert international organization) should consider the answers provided in ‘Section A’ above. In completing this template, users will need to consider the scope of each column as follows:

1. Is the element in place? the users should answer ‘Yes’ or ‘No’ to this question, drawing on the answers provided in ‘Section A’. If any answers provided in ‘Section A’ were ‘Yes’ or ‘Partially’, the answer in this column should be ‘Yes’. If all the answers for a particular element were ‘NO’, the answer in this column should be ‘No’.
2. Applicable qualitative review: for users to confirm the element will undergo a qualitative review and the tool that will be employed for this. If there is an element in place, it will undergo a qualitative review.
3. Next steps for qualitative review: for users to identify any immediate next steps that are relevant for conducting the qualitative review, including the key personnel that needs to be involved (e.g. legal expert).
4. Next steps for cases where an element is NOT in place: for users to clearly note which elements are NOT in place and will need to be considered in the J-REDD+ roadmaps (Tool 8).

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| --- | --- | --- | --- |
| Safeguard Element | Is the element in place? | Applicable qualitative review | Next steps for qualitative review:  *Personnel required (please confirm/identify)* |
| The Safeguard Information System | ☐ Yes  ☐ No | ☐ Yes – See Tool 2  ☐ No | **Personnel to be involved**  ☐ J-REDD+ program’s assigned personnel  ☐ National legal expert  ☐ Other national experts/organizations: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| The J-REDD+ Program’s governance arrangements that guarantee the application of the UNFCCC REDD+ safeguards | ☐ Yes  ☐ No | ☐ Yes – See Tool 3  ☐ No | **Personnel to be involved**  ☐ J-REDD+ program’s assigned personnel  ☐ National legal expert  ☐ Other national experts/organizations: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| The revenue distribution plan | ☐ Yes  ☐ No | ☐ Yes – See Tool 4  ☐ No | **Personnel to be involved**  ☐ J-REDD+ program’s assigned personnel  ☐ National legal expert  ☐ Other national experts/organizations: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| The grievance redress mechanism | ☐ Yes  ☐ No | ☐ Yes – See Tool 5  ☐ No | **Personnel to be involved**  ☐ J-REDD+ program’s assigned personnel  ☐ National legal expert  ☐ Other national experts/organizations: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| The J-REDD+ program’s participatory approaches | ☐ Yes  ☐ No | ☐ Yes – See Tool 6  ☐ No | **Personnel to be involved**  ☐ J-REDD+ program’s assigned personnel  ☐ National legal expert  ☐ Other national experts/organizations: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| The summary of information | ☐ Yes  ☐ No | ☐ Yes – See Tool 7  ☐ No | **Personnel to be involved**  ☐ J-REDD+ program’s assigned personnel  ☐ National legal expert  ☐ Other national experts/organizations: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Next steps if an element is NOT in place |  | | |

1. For purposes of this tool, institutional arrangements for the SIS is understood to be a clear determination of ‘who’ [i.e. single government institution and/or combination of governmental and non-governmental actors] will be involved in the operation of the SIS, and in performing the different functions of the SIS, as well as how such functions will be carried out. [↑](#footnote-ref-1)
2. **Note**: this information might be provided in the SOI and/or REDD+ Strategy [↑](#footnote-ref-2)
3. **Note**: this information might be provided in the SOI and/or REDD+ Strategy [↑](#footnote-ref-3)
4. Some jurisdictions may have prepared and adopted benefit sharing plans under the FCPF, which were designed in correlation to the scope of FCPF funded activities. These may not correlate to the scope of the entire J-REDD+ program’s activities/actions. [↑](#footnote-ref-4)
5. Some jurisdictions may have identified/ adopted grievance redress mechanisms under the FCPF, which were designed to address grievances under FCPF funded activities. Consequently, their scope may not correlate to the scope of the entire J-REDD+ program’s activities/actions. [↑](#footnote-ref-5)
6. **Note**: this information might be provided in the SOI and/or REDD+ Strategy [↑](#footnote-ref-6)